Approved by order of the President of JSC
“Caspy Commodity Exchange” dated September 23,
2026 №10-P-2026

Rules for Combating the Legalization (Laundering) of Proceeds Derived from Crime, the Financing of Terrorism and the Financing of the Proliferation of Weapons of Mass Destruction of JSC “Caspy Commodity Exchange”

Astana, 2026

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1. General Provisions

These Internal Control Rules for Combating the Legalization (Laundering) of Proceeds Derived from Crime, the Financing of Terrorism and the Financing of the Proliferation of Weapons of Mass Destruction (hereinafter – the Rules) have been developed with regard to the requirements set out in Order No. 15 of the Chairman of the Agency for Protection and Development of Competition of the Republic of Kazakhstan dated December 23, 2025 (hereinafter – the Order). Unless otherwise provided by the Rules, terms used in the Rules shall have the meanings given in the RK Law On Combating the Legalization (Laundering) of Proceeds Derived from Crime and the Financing of Terrorism dated August 28, 2009, and the RK Law On Commodity Exchanges dated May 4, 2009.

1. For the purposes of the Requirements, the following basic terms are used:

1) impeccable business reputation – the existence of facts confirming professionalism and good faith, the absence of an outstanding or unexpunged criminal record, including the absence of a court decision that has entered into legal force imposing a criminal penalty in the form of a lifetime prohibition on holding a senior management position at a financial organization, a banking and (or) insurance holding, or on being a major participant (major shareholder) of a financial organization;

2) exchange trading – entrepreneurial activity for the sale of exchange-traded goods, carried out on a commodity exchange by conducting electronic exchange trading sessions and registering and executing transactions in accordance with the legislation of the Republic of Kazakhstan on commodity exchanges;

3) personal account – the Company's profile on the dedicated communication channels of the authorized body on the Internet information and telecommunication network, ensuring electronic interaction of its users (financial monitoring entities) with the authorized body;

4) list – the list of organizations and persons associated with the financing of terrorism and extremism, compiled in accordance with Article 12 of the AML/CFT Law (hereinafter – the List);

5) WMD Proliferation Financing List – the list of organizations and persons associated with the financing of the proliferation of weapons of mass destruction, compiled in accordance with Article 12-1 of the AML/CFT Law (hereinafter – the WMD Proliferation Financing List);

6) suspicious client activity subject to financial monitoring – a combination of two or more suspicious client transactions with money and (or) other property, in respect of which the Company has grounds to suspect that the money and (or) other property used to carry them out constitute proceeds of criminal activity or are linked to the financing of the proliferation of weapons of mass destruction;

7) risks of legalization (laundering) of proceeds derived from crime, financing of terrorism and financing of the proliferation of weapons of mass destruction (hereinafter – ML/TF/PF) – the possibility of the Company's intentional or unintentional involvement in ML/TF/PF processes or other criminal activity;

8) CRMS – the Compliance and Risk Management Service;

9) commodity exchange – Joint Stock Company “Caspy Commodity Exchange” (hereinafter – the Company);

10) authorized body – the state body that carries out financial monitoring and takes other AML/CFT/PF measures in accordance with the AML/CFT Law;

11) management of ML/TF/PF risks – the set of measures taken by the Company to monitor and identify ML/TF/PF risks, as well as to minimize them (in relation to services and clients);

12) participants in exchange trading – clients, brokers, dealers and market makers interacting on the commodity exchange under the established exchange trading rules;

13) Form FM-1 – the form of data and information on a transaction subject to financial monitoring, as provided for by the Rules for Submission of Data by Financial Monitoring Entities approved by the authorized body for financial monitoring in the sphere of combating the legalization (laundering) of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction (hereinafter – AML/CFT/PF), in accordance with paragraph 2 of Article 10 of the AML/CFT Law.

2. Internal Control

2. Internal control is carried out for the purposes of:

1) ensuring compliance with AML/CFT requirements;

2) maintaining the effectiveness of the internal control system at a level sufficient to manage ML/TF/PF risks;

3) minimizing ML/TF/PF risks.

3. As part of organizing internal control for AML/CFT/PF purposes, the Company ensures:

1) the development and adoption of internal control rules by the management body or executive body in accordance with the Requirements, including an assessment by the organization's internal audit service or another body authorized to conduct internal audits of the effectiveness of internal control for AML/CFT/PF purposes, as well as an independent audit, if a decision to conduct an independent audit has been made;

2) the availability of a personal account.

4. The Internal Control Rules (hereinafter – the ICR) are a document that:

1) governs the organizational basis of the Company's work aimed at AML/CFT/PF and establishes the procedure for actions for AML/CFT/PF purposes;

2) establishes the duties and procedure for actions of officials and employees of the Exchange for the purposes of exercising internal control;

3) defines the timeframes for performing duties for the purposes of exercising internal control, as well as the persons responsible for their implementation.

5. The ICR are developed in accordance with paragraph 3 of Article 11 of the AML/CFT Law and include:

1) a program for organizing internal control for the purposes of combating the legalization (laundering) of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction, including the requirement to appoint a person responsible for the implementation and observance of the ICR from among the senior officers of the entity or other managers of the entity no lower than the level of the head of the relevant structural unit, as well as other requirements applicable to employees of the entity responsible for the implementation and observance of the ICR, including as to an impeccable business reputation (Appendix 1 to these Rules);

2) a program for managing the risk (low or high risk levels) of legalization (laundering) of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction, taking into account client risks and the risks of services being used for criminal purposes, including the risk of the use of technological advances (Appendix 2 to these Rules);

3) a client identification program (Appendix 3 to these Rules);

4) a program for monitoring and studying client transactions, including the study of complex, unusually large and other unusual client transactions (Appendix 4 to these Rules);

5) a training and education program for the Company in the sphere of combating the legalization (laundering) of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction (Appendix 5 to these Rules).

These programs govern the organizational basis of the work aimed at AML/CFT/PF and establish the Company's procedure for action.

6. In developing these ICR, the Organization has set itself the following objectives:

1) to prevent the use of the Organization's financial and other resources for the legalization (laundering) of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction;

2) to ensure compliance with the requirements of the legislation of the Republic of Kazakhstan, international treaties ratified by the Republic of Kazakhstan, and the recommendations of international organizations on combating the laundering of proceeds derived from crime, the financing of terrorism and the financing of the proliferation of weapons of mass destruction;

3) to maintain the effectiveness of the Organization's internal control system at a level sufficient to manage ML/TF/PF risks and related risks (operational risk, reputational risk);

4) to prevent the involvement of the Organization, its officials and employees in ML/TF/PF processes by third parties;

5) to maintain the Organization's business image as a lawful financial institution not associated with the criminal circulation of money in its relations with domestic legal entities and individuals.

7. The main tasks contributing to the achievement of the objectives set are as follows:

1) the application in its work and improvement by the Organization of procedures for organizing and implementing the AML/CFT/PF internal control system;

2) ensuring a clear distribution of functions between the Organization's structural units, including agents, if any, for the purposes of monitoring compliance with internal procedures aimed at AML/CFT/PF;

3) implementation of a legal mechanism for the Organization's compliance with AML/CFT/PF programs;

4) ensuring maximum transparency of the business relations of the Organization's clients and partners and of the transactions carried out;

5) developing and strengthening cooperation with international/foreign institutions and organizations in the field of AML/CFT/PF.

8. If amendments and (or) additions are made to the AML/CFT legislation of the Republic of Kazakhstan, the Company shall introduce the corresponding amendments and (or) additions to the ICR within 30 (thirty) calendar days from the date of official publication.

9. The ICR provide for the appointment of a person responsible for the implementation and observance of the ICR from among the Company's senior officers or other managers no lower than the level of the head of the relevant structural unit, as well as other requirements applicable to employees responsible for the implementation and observance of the ICR, including as to an impeccable business reputation.

10. These ICR have been developed taking into account the regulatory acts and requirements of the authorized body for regulation and development of the financial market and the financial monitoring body, the recommendations contained in the FATF (Financial Action Task Force) documents, and in accordance with the following regulatory legal acts of the Republic of Kazakhstan:

- the Law of the Republic of Kazakhstan On Combating the Legalization (Laundering) of Proceeds Derived from Crime and the Financing of Terrorism dated August 28, 2009 No. 191-IV (hereinafter – the AML/CFT Law);

- the Law of the Republic of Kazakhstan On Commodity Exchanges dated May 4, 2009 No. 155-IV (hereinafter – the Exchanges Law); - Order No. 13 of the Chairman of the Financial Monitoring Agency of the Republic of Kazakhstan dated February 22, 2022 On Approval of the Rules for Submission by Financial Monitoring Entities of Data and Information on Transactions Subject to Financial Monitoring and of Criteria for Determining a Suspicious Transaction;

- Order No. 15 of the Chairman of the Agency for Protection and Development of Competition of the Republic of Kazakhstan dated December 23, 2025, registered with the Ministry of Justice of the Republic of Kazakhstan on December 24, 2025 under No. 37669, On Approval of the Requirements for Internal Control Rules for Combating the Legalization (Laundering) of Proceeds Derived from Crime, the Financing of Terrorism and the Financing of the Proliferation of Weapons of Mass Destruction for Commodity Exchanges, Exchange Brokers Operating on a Commodity Exchange and Effecting Transactions in Exchange-Traded Goods, and Clearing Centers of Commodity Exchanges (hereinafter – the Requirements);

- Order No. 6 of the Chairman of the Financial Monitoring Agency of the Republic of Kazakhstan dated August 9, 2021 On Approval of the Requirements for Financial Monitoring Entities on Training and Education in the Sphere of Combating the Legalization (Laundering) of Proceeds Derived from Crime and the Financing of Terrorism.

11. The ICR define the procedure for organizing and exercising internal control in the Company in the field of AML/CFT.

12. The ICR, as well as amendments and additions thereto, are approved by Order of the President of the Company.

13. The ICR are mandatory for observance and execution by all bodies, structural units and employees of the Company.

Appendix 6 to the Internal Control Rules for Combating the Legalization (Laundering) of Proceeds Derived from Crime, the Financing of Terrorism and the Financing of the Proliferation of Weapons of Mass Destruction of JSC "Caspy Commodity Exchange"

Questionnaire JSC "Caspy Commodity Exchange"

for an Individual as of _____

Full name:	
Date and place of birth:	
Citizenship / residency status	
Identity document details Type of identity document, number, series (if any), issuing authority, date of issue and validity period	
Tax residency, including taxpayer number in a foreign state	
Individual Identification Number (IIN)	
Address of residence (registration) (country/jurisdiction, postal code, locality, street/district, building number)	
Actual residential address (country/jurisdiction, postal code, locality, street/district, building number)	
Marital status	
Contact phone numbers	
Email address	
Place of employment, position held	
Employment information	☐ Individual entrepreneur ☐ Employee ☐ Business owner ☐ Student ☐ Retiree ☐ Not employed ☐ Other (specify) _____

	_____
Details of individual entrepreneurial activity (name of individual entrepreneur, certificate number, issue date, place of issue)	
Bank details	
Name/full name of the beneficiary	
Name and country of location of the bank(s)	
Bank code (BIC)	
Account number (IBAN)	
Beneficiary code (Kbe)	
Information on the authorized representative	
Full name	
Date and place of birth	
Citizenship / residency status	
Identity document details	
Individual Identification Number (IIN)	
Address of residence (registration) (country/jurisdiction, postal code, locality, street/district, building number)	
Actual residential address (country/jurisdiction, postal code, locality, street/district, building number)	
Contact phone numbers	
Email address	
Details of the document under which the representative acts (type, series, number, issue date, issuing authority)	
Full name of the notary who certified the client's signature on the power of attorney issued to the client's representative, the number and date of issue of the notarial license, or the name of the authority that issued the document	
Number, date of issue and validity period of the visa (if a foreign passport is submitted as the identity document) (except for citizens of states entering the	

Republic of Kazakhstan under a visa-free regime	
Number, date of issue and validity period of the migration card (if a foreign passport is submitted as the identity document)	
Information on combating the laundering of proceeds derived from illegal means / FATCA	
Are you a foreign public official? (a foreign public official is a person appointed or elected to hold any position in the legislative, executive, administrative or judicial body of a foreign state, and any person performing any public function for a foreign state)	☐ YES ☐ NO
Are you affiliated with any foreign public official?	☐ YES ☐ NO
Do you have bank accounts in offshore zones?	☐ YES ☐ NO
Are you a U.S. resident?	☐ YES ☐ NO
Of which states are you a taxpayer?	☐ Kazakhstan ☐ USA ☐ Other _____ _____
Do you have a contact phone and/or fax number registered in the USA?	☐ YES ☐ NO
Do you have a mailing address in the USA?	☐ YES ☐ NO
Additional information on the individual acting as a sole proprietor	
Number and date of issue of the document confirming the registration of the individual as a sole proprietor, including as the head of a peasant (farm) enterprise	
Business Identification Number (if any)	
Type of entrepreneurial activity	
Number, date of issue and validity period of the license (if the activity carried out is subject to licensing)	
Address of the place of business (country/jurisdiction, postal code, locality, street/district, building number)	

Additional information on a foreign individual	
Number, date of issue and validity period of the visa (if a foreign passport is submitted as the identity document) (except for citizens of states entering the Republic of Kazakhstan under a visa-free regime)	
Number, date of issue and validity period of the migration card (if a foreign passport is submitted as the identity document) (for citizens of states entering the Republic of Kazakhstan under a visa-free regime)	
Whether the person is a foreign public official or a person associated therewith (family member)	
Information on the beneficial owner	
Information on the beneficial owner Full name of the individual(s) in whose interest the business relationship is established (the transaction is carried out), or a note that the individual establishing the business relationship (carrying out the transaction) on their own behalf is acting in their own interest	
Citizenship (if any) of the beneficial owner	
Individual Identification Number (if any) of the beneficial owner	
Type of identity document, number, series (if any) of the beneficial owner	
Name of the authority that issued the beneficial owner’s identity document, its date of issue and validity period	
Tax residency, including the taxpayer number assigned to the beneficial owner in a foreign state	
Contact phone number (if any) of the beneficial owner	
Whether the beneficial owner is a foreign public official or a person associated therewith (family member)	

Information on the sources of financing of the transactions carried out	
Sources of the individual's income and of financing of the transactions carried out (salary, dividends, income from entrepreneurial activity, other)	
Accounts at other banks/financial organizations (if any) (name of the bank/financial organization where the account is held)	
Description of financial standing	□ Real estate □ Valuables □ equity stake/percentage of shares in another legal entity (specify)_____ □ other (specify)_____

I hereby confirm the accuracy and completeness of the above information provided to JSC "Caspy Commodity Exchange", and accept responsibility for providing inaccurate data in this Questionnaire.

I consent to the processing of the specified data and to the verification of the accuracy of the information, including obtaining additional information, and I also consent to the disclosure of information to state bodies, including: the National Bank of the Republic of Kazakhstan, the Financial Monitoring Agency and the executive body authorized to control and supervise taxes and levies.

In the event of any changes to the information provided, we undertake to report all such changes in accordance with the Law of the Republic of Kazakhstan No. 191-IV dated August 28, 2009

On Combating the Legalization (Laundering) of Proceeds Derived from Illegal Means and the Financing of Terrorism. Under the Foreign Account Tax Compliance Act (FATCA), banks and financial organizations worldwide are required to inform the U.S. tax authority of accounts held by U.S. residents, the purpose of which is to prevent tax evasion on income earned by U.S. citizens and residents outside the United States.

Full name of the client/authorized person

_____

Signature_____ Date_____

Notes of a JSC "Caspy Commodity Exchange" employee

Date the questionnaire was received _____

Client risk level _____

Appendix 7 to the Internal Control Rules for Combating the Legalization (Laundering) of Proceeds Derived from Crime, the Financing of Terrorism and the Financing of the Proliferation of Weapons of Mass Destruction of JSC "Caspy Commodity Exchange"

Questionnaire JSC "Caspy Commodity Exchange"
for a Legal Entity as of _____

Full name		
Short name		
Резидентство		
Residency Name and number of the document confirming registration of the legal entity		
State registration (re-registration) number		
State registration (re-registration) date		
Authority that issued the registration (re-registration)		
Business Identification Number (BIN)		
Legal address (registration)		
Actual address/ Mailing address		
Contact phone/fax numbers		
Email address		
Information on the founders of the legal entity *(details of all founders with a participating interest of at least 10% are indicated)*		
For an individual: full name, IIN, date of birth, identity document details, contact phone number, actual address	Residency	Share (%)
For a legal entity: name, BIN, registration number, actual address		

Ultimate beneficiaries		
Full name		
Date of birth		
Citizenship		
Identity document details		
Identification Number (IIN)		
Contact details (phone, email)		
Actual residential address		
Share, %		
Information on the chief executive		
Full name		
Date of birth		
Citizenship		
Identity document details		
Identification Number (IIN)		
Contact details (phone, email)		
Information on the economic activity of the legal entity		
Main types of activity of the legal entity		
General Classifier of Economic Activities (GCEA) code		
Type of license		
Place and date of issue of the license		
Authority that issued the license		
License number		
Validity period of the license		
Charter capital		
Information on the banks where the legal entity's accounts are held		
Name and country of location of the bank(s)		
Bank code (BIC)		
Account number (IBAN)		
Beneficiary code (Kbe)		
Information on the authorized representative		
Full name		
Date of birth		
Citizenship		
Identity document		
Series/number, issuing authority, date of issue and validity period of the identity document		
Identification Number (IIN)		
Taxpayer Registration Number (RNN)		
Address of residence (registration)		
Actual residential address		
Contact phone numbers		
Email address		

Details of the document under which the representative acts (type, series, number, date of issue, issuing authority, or full name of the person who issued the document	
Number, date of issue and validity period of the visa (if a foreign passport is submitted as the identity document) (except for citizens of states entering the Republic of Kazakhstan under a visa-free regime)	
Number, date of issue and validity period of the migration card (if a foreign passport is submitted as the identity document)	
Information on combating the laundering of proceeds derived from illegal means / FATCA	
Information on the ownership and management structure	
Structure and names of the bodies (supreme body, executive body, other bodies) in accordance with the constitutive documents	
Date of the latest version of the constitutive documents on the basis of which the structure of the legal entity's bodies is established	
Information on the membership of the supreme body	
Full names (if any) of the individuals and (or) full names of the legal entities that are members of the supreme body	
Citizenship (if any) of the individuals and (or) state of registration of the legal entities that are members of the supreme body	
Individual Identification Numbers (if any) or the numbers, series (if any), dates of issue and validity periods of the identity documents of the individuals who are members of the supreme body	
Business Identification Numbers (if any) or registration numbers (codes) assigned by the authorized body in the state of registration for the legal entities that are members of the supreme body	
Date of the latest version of the constitutive documents or of the extract from the register of shareholders (participants) or another document on the basis of which the membership of the supreme body is established	
Information on the membership of the executive body	

Full name (if any) of the person performing the functions of the sole executive body, or the full names (if any) of the head and members of the collegial executive body	
Date and place of birth of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Citizenship (if any) of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Individual Identification Number (if any) of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Type of identity document, number, series (if any) of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Name of the authority that issued the identity document of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body, its date of issue and validity period	
Address of residence (registration) and (or) place of stay (country/jurisdiction, postal code, locality, street/district, house number and, if any, apartment number) of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Contact phone number of the person performing the functions of the sole executive body, or of the head and members of the collegial executive body	
Number and date of the document (order, minutes of the general meeting, minutes of the board of directors, decision of the sole shareholder (founder) or another similar document) on the basis of which the person performs the functions of the sole executive body or of the head or a member of the collegial executive body	
Information on the membership of other management bodies (if any)	
Full names (if any) of the head and members of the management body	

Date and place of birth of the head and members of the management body	
Citizenship (if any) of the head and members of the management body	
Individual Identification Number (if any) of the head and members of the management body	
Information on the beneficial owner	
A note on the presence/absence of an individual(s) who directly or indirectly owns more than twenty-five percent of the participating interest in the charter capital or of the outstanding (less preferred and treasury) shares of the legal entity	
A note on the presence/absence of an individual(s) exercising control over the legal entity on other grounds	
A note on the presence/absence of an individual(s) in whose interest the legal entity establishes business relations (carries out transactions)	
Full name of the beneficial owner	
Citizenship (if any) of the beneficial owner	
Individual Identification Number (if any) of the beneficial owner	
Type of identity document, number, series (if any) of the beneficial owner	
Name of the authority that issued the beneficial owner's identity document, its date of issue and validity period	
Tax residency, including the taxpayer number in a foreign state of the beneficial owner	
Contact phone number (if any) of the beneficial owner	
Is the beneficial owner referred to above a foreign public official	
Does the beneficial owner have a family member and/or close relative who is a foreign public official	
Description of the beneficial owner's financial standing (presence of)	□ Real estate □ Valuables □ equity stake/percentage of shares in another legal entity (specify) _____ □ other (specify) _____ _____
Additional information on the branch (representative office) of the legal entity	

Name of the branch (representative office)	
Business Identification Number (if any)	
Type of the document confirming registration, its date of issue, number (if any)	
Name of the registering authority and date of registration (re-registration)	
Type(s) of activity carried out and General Classifier of Economic Activities (GCEA) code (if any)	
Number, date of issue and validity period of the license (if the activity carried out is subject to licensing)	
Address of the location of the branch (representative office) in accordance with the document confirming registration (country, postal code, locality, street/district, building number)	
Contact phone number	
Email address (if any)	
Information on the sources of financing of the transactions carried out	
Sources of the legal entity's income and of financing of the transactions carried out (income from entrepreneurial activity, dividends, voluntary property contributions and donations, other)	□ regular income received as a result of core activity; □ profit from occasional transactions and deals □ contributions of participants (shareholders) □ borrowed funds (specify from whom) □ dividends □ voluntary property contributions □ donations □ receipts from purchasers or recipients of goods/services □ other (specify)_____
Accounts at other banks/financial organizations (if any) (name of the bank/financial organization where the account is held)	
Description of the Client's financial standing	□ real estate □ valuables □ equity stake/percentage of shares in another legal entity (specify)_____ □ Other (specify) _____

AML/CFT information	
Is your Organization a financial monitoring entity?	☐ YES ☐ NO
Does your Organization have a written anti-money laundering policy applicable to all structural units and other offices? If "No", when is its adoption planned?	☐ YES ☐ NO
Does your Organization have a responsible employee for the implementation and compliance with Internal Control Rules for AML/CFT purposes	☐ YES ☐ NO
Does the employee responsible for the implementation and compliance with the Rules of Internal Control for AML/CFT purposes have a certificate of completion of testing for knowledge of the legislation of the Republic of Kazakhstan on AML/CFT/FMT	☐ YES ☐ NO
Upon request, are you prepared to provide us with copies of your AML (AntiMoney Laundering) policy?	☐ YES ☐ NO
Does your Organization have procedures that require you to obtain general information and documentation on all your clients before establishing a business relationship?	☐ YES ☐ NO
Does your Organization maintain/retain all records relating to client identification and their transactions? If "Yes", for how long?	☐ YES ☐ NO _____
Does your Organization have anonymous accounts?	☐ YES ☐ NO
Does your Organization provide services to occasional clients?	☐ YES ☐ NO
Does your Organization deal with offshore companies that have no actual presence in any country?	☐ YES ☐ NO
Does your Organization provide all employees with training on anti-money laundering laws and regulations?	☐ YES ☐ NO
Does your Organization verify client identity when carrying out large transactions?	☐ YES ☐ NO
Does your Organization monitor client account activity and other transactions to identify large and suspicious transactions?	☐ YES ☐ NO
Does your Organization report suspicious transactions to the relevant government authorities?	☐ YES ☐ NO

Is any of the managers or owners of your Organization a foreign public official (a foreign public official is a person appointed or elected to hold any position in the legislative, executive, administrative or judicial body of a foreign state, and any person performing any public function for a foreign state)?	☐ YES ☐ NO

We hereby confirm the accuracy and completeness of the above information provided to JSC “Caspy Commodity Exchange”, and accept responsibility for providing inaccurate data in this Questionnaire.

We consent to the processing of the specified data and to the verification of the accuracy of the information, including obtaining additional information, and we also consent to the disclosure of information to state bodies, including: the National Bank of the Republic of Kazakhstan, the Financial Monitoring Agency and the executive body authorized to control and supervise taxes and levies.

In the event of any changes to the information provided, we undertake to report all such changes.

Position and full name of the authorized person

_____

Signature_____ Seal_____

Notes of a JSC “Caspy Commodity Exchange”

employee Questionnaire update date _____

Client risk level _____